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**PIPER RUDNICK<sup>LLP</sup>**  
1200 NINETEENTH STREET, NW  
WASHINGTON, DC 20036-2412  
TELEPHONE: 202-861-3900  
FACSIMILE: 202-223-2085

DOCKET NO.: 5988-056-27

ASSISTANT COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Re: Serial No.: 10/679,367  
Applicant(s): Kenichi YOKOYAMA et al.  
Filing Date: October 7, 2003  
For: RADIATION-SENSITIVE RESIN COMPOSITION  
Group Art Unit:  
Examiner:

SIR:

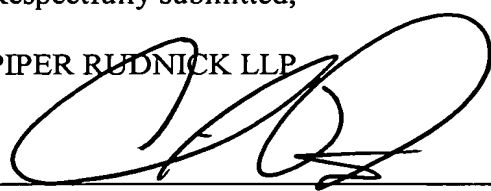
Attached hereto for filing are the following papers:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**  
**LIST OF RELATED CASES**  
**FORM PTO-1449**  
**REFERENCES (4)**

Our check in the amount of \$ 0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER RUDNICK LLP

  
\_\_\_\_\_  
Steven B. Kelber  
Attorney of Record  
Registration No.: 30,073

Christopher W. Raimund  
Registration No.: 47,258

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Kenichi YOKOYAMA et al.

GROUP ART UNIT:

SERIAL NUMBER: 10/679,367

EXAMINER:

FILED: October 7, 2003



FOR: RADIATION-SENSITIVE RESIN COMPOSITION  
INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- ☐ without fee and within three months of the filing date of the application.
- ☐ without fee and within three months of the date of entry of the U.S. national stage.
- without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- ☐ without fee and with the appropriate certification above.
- ☐ without fee and with a new CPA application.
- ☐ without fee and with a Request for Continued Examination.
- ☐ with fee and before the mailing date of any of a Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- ☐ with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

Respectfully submitted,

PIPER RUDNICK LLP

Steven B. Kelber  
Attorney of Record  
Registration No. 30,073  
Christopher W. Raimund  
Registration No. 47,258

1200 Nineteenth Street, N.W.  
Washington, DC 20036-2412  
Telephone No. (202) 861-3900  
Facsimile No. (202) 223-2085



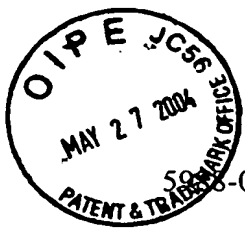
DOCKET NO.: 5988-056-27

### LIST OF RELATED CASES

Docket Number	Serial or Patent Number	Filing or Issue Date	Status or Patentee
311-140-0 FWC1	5,215,857	June 1, 1993	HOSAKA et al.
311-231-0	5,238,774	August 24, 1993	HOSAKA et al.
311-264-0	08/053,500	April 28, 1993	Pending
311-280-0	5,405,720	April 11, 1995	HOSAKA et al.
311-297-0 CONT	5,494,784	February 27, 1996	HOSAKA et al.
311-320-0 FWC	5,925,492	July 20, 1999	HOSAKA et al.
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311-424-0 CONT	6,020,104	February 1, 2000	HOSAKA et al.
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5988-019-27	6,337,171 B1	January 8, 2002	KOBAYASHI et al.
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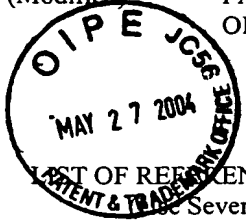
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5988-055-27	10/648,243 2004-0048192 A1	August 27, 2003 March 11, 2004	Published SHIMA et al.
*5988-056-27	10,679,367	October 7, 2003	Pending
5988-057-27 NATL	10/476,453	October 31, 2003	Pending

The cases listed on this Notice of Related Cases include cases which may contain information that is material to patentability. The listing of a case on this Notice should not be taken as an indication or admission that any information contained therein is material. Prior art for each case listed on this Notice may have been cited. **The files corresponding to the listed cases, which are available to the Examiner, may not have not been examined to ascertain the materiality of any prior art therein.** Accordingly, the Examiner is requested to review the file for each case listed on this Notice in order to assess the materiality of such prior art.

\*Present application; listed for information.

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT (See Several Sheets if Necessary)	DOCKET NO.	SERIAL NO.
	5988-055-27	10/648,243
	APPLICANT Motoyuki SHIMA et al.	
	FILING DATE	GROUP ART UNIT
	August 27, 2003	1756

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,215,857	06/1993	HOSAKA ET AL.			
	AB	5,238,774	08/1993	HOSAKA ET AL.			
	AC	5,405,720	04/1995	HOSAKA ET AL.			
	AD	5,494,784	02/1996	HOSAKA ET AL.			
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EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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(Modified)

U.S. DEPARTMENT OF  
COMMERCE  
PATENT AND TRADEMARK  
OFFICE

DOCKET NO.

5988-055-27

SERIAL NO.

10/648,243

APPLICANT

Motoyuki SHIMA et al.

FILING DATE

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GROUP ART UNIT

1756

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
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	BO	07-146558	06/1995	JAPAN	ABST	
	BP					
	BQ					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	BR	
	BS	
	BT	
	BU	

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